



Docket No.: 42390P12556

I#w

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re: the Application of:

OSCAR P. PINTO, ET AL.

Application No.: 09/994,779

Filed: November 28, 2001

For: A Mechanism For Managing Incoming Data  
Messages In A Cluster

Art Group: 2177

Examiner: Channavajjala, Srirama T.

**INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

In accordance with the duty of disclosure, enclosed is a copy of IDS Citation Form PTO/SB/08 or PTO-1449, together with copies of the documents cited on that form, except for copies not required to be submitted (e.g., copies of U.S. patents and U.S. published patent applications need not be enclosed). This IDS and IDS Citation Form are being submitted before the mailing of a final Office Action. It is respectfully requested that the cited references be considered and that the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

03/09/2005 EFLORES 00000019 09994779

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The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made in the subject application and is not to be construed as an admission that the information cited in this statement is material to patentability.

The fee set in the amount of \$180.00 for submission of the Information Disclosure Statement is enclosed herewith. Please charge any additional fees due to Deposit Account 02-2666. A duplicate copy of the Fee Transmittal (PTO/SB/17) is enclosed for this purpose.

Respectfully submitted,

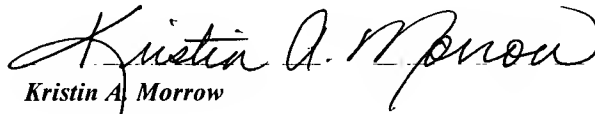
BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Date: March 04, 2005

Gregory D. Caldwell, Reg. No. 39,926

12400 Wilshire Boulevard, 7th Floor  
Los Angeles, CA 90025  
Telephone: (503) 439-8778

I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

  
Kristin A. Morrow

03-04-05

Date



# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission 18

Application No. 09/994,779  
Filing Date November 28, 2001  
First Named Inventor Oscar P. Pinto  
Art Unit 2177  
Examiner Name Channavajjala, Srirama T.  
Attorney Docket Number 42390P12556

## ENCLOSURES (check all that apply)

- ☒ Fee Transmittal Form
- ☒ Fee Attached
- ☐ Amendment / Response
- ☐ After Final
- ☐ Affidavits/declaration(s)
- ☐ Extension of Time Request
- ☐ Express Abandonment Request
- ☒ Information Disclosure Statement
- ☒ PTO/SB/08
- ☐ Certified Copy of Priority Document(s)
- ☐ Response to Missing Parts/Incomplete Application
- ☐ Basic Filing Fee
- ☐ Declaration/POA
- ☐ Response to Missing Parts under 37 CFR 1.52 or 1.53

- ☐ Drawing(s)
- ☐ Licensing-related Papers
- ☐ Petition
- ☐ Petition to Convert a Provisional Application
- ☐ Power of Attorney, Revocation Change of Correspondence Address
- ☐ Terminal Disclaimer
- ☐ Request for Refund
- ☐ CD, Number of CD(s)

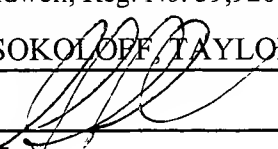
- ☐ After Allowance Communication to Group
- ☐ Appeal Communication to Board of Appeals and Interferences
- ☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
- ☐ Proprietary Information
- ☐ Status Letter
- ☒ Other Enclosure(s) (please identify below):

Check in the amount of \$180.00  
Copies of 9 Cited Refs.  
Return Postcard

Remarks

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name Gregory D. Caldwell, Reg. No. 39,926  
BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Signature 

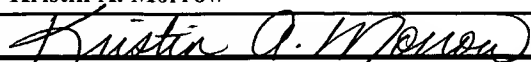
Date March 4, 2005

## CERTIFICATE OF MAILING/TRANSMISSION

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Typed or printed name Kristin A. Morrow

Signature



Date

March 4, 2005



# FEE TRANSMITTAL for FY 2005

Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27.

TOTAL AMOUNT OF PAYMENT (\$) 180.00

## Complete if Known

Application Number 09/994,779  
Filing Date November 28, 2001  
First Named Inventor Oscar P. Pinto  
Examiner Name Channavajjala, Srirama T.  
Art Unit 2177  
Attorney Docket No. 42390P12556

## METHOD OF PAYMENT (check all that apply)

- ☒ Check ☐ Credit card ☐ Money Order ☐ None ☐ Other (please identify): \_\_\_\_\_  
☒ Deposit Account Deposit Account Number: 02-2666 Deposit Account Name: Blakely, Sokoloff, Taylor & Zafman LLP

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

- ☐ Charge fee(s) indicated below ☐ Charge fee(s) indicated below, except for the filing fee  
☒ Charge any additional fee(s) or underpayment of fee(s) ☒ Credit any overpayments  
under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20.

## FEE CALCULATION

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet.	
2053	130	2053	130	Non-English specification	
1251	120	2251	60	Extension for reply within first month	
1252	450	2252	225	Extension for reply within second month	
1253	1,020	2253	510	Extension for reply within third month	
1254	1,590	2254	795	Extension for reply within fourth month	
1255	2,160	2255	1,080	Extension for reply within fifth month	
1401	500	2401	250	Notice of Appeal	
1402	500	2402	250	Filing a brief in support of an appeal	
1403	1,000	2403	500	Request for oral hearing	
1451	1,510	2451	1,510	Petition to institute a public use proceeding	
1460	130	2460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	180.00
1809	790	1809	395	Filing a submission after final rejection (37 CFR § 1.129(a))	
1810	790	2810	395	For each additional invention to be examined (37 CFR § 1.129(b))	
Other fee (specify) _____					
SUBTOTAL (2)					(\$) 180.00

## SUBMITTED BY

## Complete (if applicable)

Name (Print/Type)	Gregory D. Caldwell	Registration No. (Attorney/Agent)	39,926	Telephone	(503) 439-8778
Signature		Date	03/04/05		



*(use as many sheets as necessary)*

**Complete if Known**

U.S. PATENT DOCUMENTS

## FOREIGN PATENT DOCUMENTS

Date  
Considered

Send To: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

Substitute for form 1449A/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Sheet 2 of 2

**Complete if Known**

Application Number	09/994,779
Filing Date	November 28, 2001
First Named Inventor	Oscar P. Pinto
Art Unit	2177
Examiner Name	Channavajjala, Srirama T.
Attorney Docket Number	42390P12556

## NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
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SHAH et al., "A Mechanism For Reporting Topology Changes To Clients In A Cluster",  
U.S. Application No. 09/942,608.. Intel Corporation.

SHAH et al., "A Cluster With Multiple Paths Between Hosts And I/O Controllers", U.S. Application No. 09/450,381., Intel Corporation

RAJ., "Method And Device For Discovering Round Trip Delays Between Nodes In An Infiniband Cluster", U.S. Application No. 09/669,668., Intel Corporation

SHAH., "System And Method For Providing Detailed Path Information To Clients", U.S. Application No. 09/694,492., Intel Corporation

TEITENBERG et al., "Method And Apparatus For Distributing Events Among Multiple Channel Drivers", U.S. Application No. 09/654,069., Intel Corporation

SHAH et al., "Path Removal For Highly Connected Clusters", U.S. Application No. 09/658,491., Intel Corporation.

SHAH., "Method And Apparatus For Determining Multiple Instances Of The Same Type Of Service Agent", U.S. Application No. 09/653,585., Intel Corporation

SHAH, "Dynamic Removal Of A Driver Stack When A Parent Driver Uses A Child Driver", U.S. Application No. 09/631,873., Intel Corporation

SHAH., "Delaying Loading Of Host-Side Drivers For Cluster Resources To Avoid Communication Failures"., U.S. Application No. 09/608,632., Intel Corporation

Examiner  
SignatureDate  
Considered

\*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

<sup>3</sup>Applicant's unique citation designation number.<sup>3</sup>Applicant is to place a check mark here if English language Translation is attached.

Based on PTO/SB/08B (08-03) as modified by Blakely, Solokoff, Taylor & Zafman (wlr) 08/11/2003.  
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